

03-12-01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Yuri BASIN et al.

Docket No.: 009785-0125

Title: SYSTEM AND METHOD FOR MANIPULATING AND MANAGING COMPUTER ARCHIVE FILES

BOX PATENT APPLICATION
 Assistant Commissioner for Patents
 Washington, D.C. 20231

TRANSMITTAL OF UTILITY PATENT APPLICATION UNDER 37 CFR 1.53(b)

1. Utility Patent Application:

12 Pages of specification; 3 Pages of claims; 1 Page of Abstract15 Sheets of drawings: ☒ informal ☐ formal

2. ☐ This is a ☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP) of prior application Serial No. _____/_____, filed on _____, and entitled _____, the entire disclosure of which is hereby incorporated by reference herein.

☐ Preliminary Amendment is enclosed. The fee for excess claims has been calculated below.☐ Cancel in this application, claims ___-___ of the prior application (at least one claim retained), before calculating the filing fee below.3. ☒ Combined Declaration/Power of Attorney:☒ newly executed ☐ unsigned☐ copy from prior application for Continuation/Divisional (37 CFR 1.63(d)(1))☐ The application is being filed by less than all inventors named in the prior application. Delete the following inventor(s) named in the prior application (37 CFR 1.63(d)(2)):

(GIVEN NAME)

(MIDDLE INITIAL OR NAME)

FAMILY (OR LAST NAME)

4. ☒ Additional Papers enclosed:☒ A return receipt postcard.☒ Request and Certification under 35 USC 122(b)(2)(B)(i).☐ Assignment of the invention to: _____ ☐ Cover Sheet for Assignment.☐ Information Disclosure Statement ☐ Form 1449 ☐ Copy of IDS Citations.

5. Fee Calculation (37 CFR 1.16).

CLAIMS AS FILED			SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE		RATE	FEE
BASIC FEE				\$ 355	OR		\$ 710
TOTAL CLAIMS	<u>12</u> minus 20 =	0	X \$ 9 =	\$ _____	OR	X \$ 18 =	\$ _____
INDEPENDENT CLAIMS	<u>2</u> minus 3 =	0	X \$ 40 =	\$ _____	OR	X \$ 80 =	\$ _____
MULTIPLE DEPENDENT CLAIMS PRESENT (37 CFR 1.16(d))			+ \$ 135 =	\$ _____	OR	+ \$ 270 =	\$ _____
			TOTAL	\$ 355.00	OR	TOTAL	\$ _____

6. Payment of Fees: ☐ check in the amount of \$ _____☒ fees are being deferred.☐ charge Deposit Account No. 07-1509. A duplicate copy of this sheet is enclosed.7. ☐ Please charge any additional fees or credit overpayment to Deposit Account No. 07-1509. (Does not apply if fees are deferred).8. ☒ Applicant claims small entity status.

CERTIFICATION UNDER 37 CFR 1.10 OF MAILING BY "EXPRESS MAIL"

Express Mail Label No.: EL645140772USDate of Deposit: MARCH 9, 2001

I hereby certify that this correspondence is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the date indicated above and is addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231.

By: William K. Baxter
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By:

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**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

Yuri BASIN et al.

Title

SYSTEM AND METHOD FOR MANIPULATING
AND MANAGING COMPUTER ARCHIVE FILES

Atty Docket Number

009785-0125

I hereby certify that the invention disclosed in the attached application **has not and will not** be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

3/9/01

Date

William K. Baxter

Signature

William K. Baxter

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.**